



INFORANGE

THE NEWSLETTER FOR THE ORANGE COUNTY CHAPTER OF ISACA

DINNER SEMINAR (TWO CPES)

THE TURNIP ROSE

TUESDAY DECEMBER 8, 2009

4:00 – 6:00 PM

REGISTRATION BEGINS: 3:30 PM

First Ever CIO Panel Discussion

Topic: IT Governance from a CIO's Perspective

Information technology (IT) governance is, or should be, an integral part of enterprise governance. It provides direction and control helping ensure significant IT investments bring value to the enterprise, the responsible use of IT resources, and proactive risk mitigation.

We will explore how the IT function, when well designed and operationalized, can and must better govern primary business processes.

Our Speakers: Mario Leone, Executive Vice President and CIO, Ingram Micro, Inc.



Mario leads Ingram Micro's worldwide information and business systems ensuring a stable, effective platform for profitable growth. His organization supports hundreds of thousands of transactions per day through operations on five continents. He joined the company in 2009.

He provides marketing and technology solutions for large global corporations. Before joining Ingram Micro, he served as senior vice president and chief information officer at Federal-Mogul Corporation, a global supplier of powertrain and safety technologies serving the automotive, industrial and worldwide after-markets. He served on the company's strategy board and led a global team of information professionals, directing information strategy, shared services and integration activities to support operations in 35 countries.

Prior to Federal-Mogul, he served as senior vice president and chief information officer at FIAT, and its business unit IVECO, a leading European industrial vehicle company. There he developed and implemented a global corporate IT strategy that helped the company return to profitability and increase sales in all major business units.

Mario earned a bachelor's degree in chemistry and biology from Boston University and a master's degree in business administration with a concentration in finance from Babson College in Massachusetts.

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We will be meeting at The Turnip Rose Check in begins at 3:30 PM

To register for this meeting, please visit our website isacaoc.org and register directly online! The tab for registration is on the left.

You may send an e-mail to registration@isacaoc.org with the date of the event as the subject. Please include your first and last name, organization, and job title information in the email.

CIO Panel Discussion Our Speakers, cont.

Raj Jha, CTO, Reliant Solutions, Inc.



As Chief Technology Officer (CTO), Raj is currently responsible for product management, technology roadmap, architecture and implementation of Reliant Auditor, a continuous risk management software product. His technology experience spans several industries including electronics, aerospace, automotive, retail, and building and construction. As a CTO and a former CIO his experience combines product development and implementation of software products for small and large companies. Raj has extensive experience in integrating with heterogeneous ERP Systems including SAP, Oracle E-Business Suite, and Microsoft Dynamics GP etc.

Raj led successful Reliant Auditor implementations at Mindspeed, Inc and QLogic, Inc. The Mindspeed environment included over 300 automated SAP controls. The QLogic environment included approximately 50 Oracle E-Business 11i automated controls. He led the product definition and development of Reliant Auditor a continuous risk management tool. Automated the key audit processes/ elements including COSO framework, Controls Monitoring, Testing, Audit Plan, and Risk Assessment.

Raj earned a bachelor's degree in mechanical engineering, a masters degree in industrial engineering, and he is an IIA member.

William Neavel, Vice President Information Technology, TD Service Financial Corporation



Bill's responsibilities include IT/ network management, strategic business initiatives, software development, and consulting services. He also serves as the President of Stratinet Corporation, a new business start up and subsidiary of TD Service Financial Corporation. His over 20 years of experience in the mortgage industry as well as his technical background create a unique blend of industry knowledge.

Bill attended Cal State Fullerton, Fullerton, California where he received a Bachelor of Arts degree in Business Administration with a dual emphasis in Financial Management and Information Systems. He serves on the Orange County Board of Trustees for Olive Crest, a non-profit provider of homes and services for abused and neglected children. He is also the Director of Technology for the Lighthouse Guild, a support fundraising arm for Olive Crest. He also serves on the technology board of the USFN (formerly the United States Foreclosure Network), the largest not-for-profit association of law firms and trustee companies in the nation.

Our Moderator

Jason James, Director, Reliant Solutions, Inc.



Jason's industry experience includes delivering information technology and audit services, delivering Sarbanes-Oxley 404 process and control documentation, system analysis, system implementation and system auditing services, auditing both business process and general application controls, and is familiar with project life cycle project management, business process improvement, business requirement definition, Gap analysis, vendor evaluation/ selection, package implementation, audit and strategic planning. Jason possesses solid analytical skills with demonstrated experience in problem solving within limited timeframes and budget. He has an OND in pure electronics.

WELCOME NEW MEMBERS!

*Bary Dickerson
Kristian Hermansen
Brian Daniel Johnson
Jeff Olin
James Santer
Chiki Sun
Sean Tierney
Raymond Wah*

Orange County Chapter Event Calendar

(Mark your calendars, more information will be posted in future newsletters and on the web site.)

Date	Type	Location
Nov. 21, Dec. 5, Sat. Check-in 7:30 AM	CISA Review Course Fall 2009 begins – continental breakfast & lunch included	Wachovia , Irvine
Dec. 8, Tue. Check-in 3:30	NEW – First Ever Dinner – CIO Panel Discussion	The Turnip Rose , Orange
Jan. 5, Tue. Check-in 3:30	Lunch – CEO ecfirst	McCormick & Schmick's , Irvine
Feb. 2, Tue. Check-in 7:30 AM	Full Day Training – database, operating system, and ERP security	TBD

President's Corner



Dear Members,

Where has the time gone – another year is almost over? November starts that special time of year to begin celebrating the holidays. It is always an exciting time of the year and I am sure that you all are looking forward to spending some special time with your family, friends, and loved ones. As for myself, I enter this year-end with both joy and sadness as it is a difficult time of year when you lose a loved one, but I am looking forward to enjoying quality time at home with my family.

We had a full house and another very successful joint meeting on November 6th sponsored with the IIA and want to personally thank Amanda Massucci, Patrick Hynes, and Jennifer Baskin from Ernst & Young for presenting to us about *Fraud Detection Methodologies* at Dave & Buster's in Irvine and making it a spectacular event.

This month we are also very privileged and honored to have **Mario Leone**, CIO from Ingram Micro; **Raj Jha**, CTO from Reliant Solutions; and **Bill Neavel**, Vice President Information Technology from TD Service Financial Corporation join us to discuss *IT Governance from a CIO's Perspective* on **Tuesday, December 8th at 4:00PM at the Turnip Rose in Orange** – at our annual December holiday meeting. This is one event you will not want to miss! In addition to having an exciting topic and speakers, the Turnip Rose traditionally provides a vast display of wonderful desserts to choose from and we will be closing out the year with a bang at this facility which will be changing owners in 2010, our home for a number of years now.

We also began our CISA Review Course on Saturday, November 14th and have a dozen candidates destined to be prepared for the upcoming CISA examination in December. I would like to thank Jennifer Alfafara, Ruth Horaczko, and of course our long-time supporter George Messey and his entire team at Wachovia for making this all come together. In addition, two of our Board Members, Jane Vong and David Suh, attended the ISSA Symposium and promoted the benefits of ISACA to their members, so thank you to the both of them as well for helping us spread our wings.

As for upcoming events, we will have Ali Pabrai, CEO, from efirst present to us on Tuesday, January 6th and we will have a full-day training class on Tuesday, February 2nd featuring database, operating system, and ERP security. We are also in the preliminary stages of planning to co-host educational events with ACFE, ISSA, and the IT Executive Roundtable in the Spring so stayed tuned for more information about our upcoming events.

Now is the time to begin renewing your ISACA membership for 2010. ISACA International is currently redesigning its website and during the first half of 2010, ISACA International will be going live with the completely redesigned look and feel to provide additional benefits to our members. As a reminder, prior to the end of the year, if anyone is looking for FREE CPE, utilize ISACA International resources. ISACA offers free e-symposiums for members in which you can earn up to 36 FREE CPE per year by completing courses online and passing a quiz at the end of the session, go to www.isaca.org for more info.

Happy Holidays to all of you!

Best Regards,

Kent Kieffer, CISA, CPA, CFA
ISACA Orange County, President

ANNOUNCEMENTS

ISACA International

- **EuroCACS and North America CACS online:** ISACA created a webcast library from outstanding sessions recorded at the March 2009 EuroCACS and May 2009 North America CACS. Users can earn 1.5 CPEs by purchasing and completing each of the live online sessions. Discounted pricing is available exclusively to ISACA members. The webcasts are available on the ISACA e-Learning campus (www.isaca.org/elearning).
- **2010 - 2011 Volunteer Opportunities:** ISACA International relies heavily on volunteer leaders to ensure the continuation of high-quality resources. ISACA is currently accepting applications to participate with volunteer groups during the 2010 - 2011 term. Please complete the application form included with volume 6 of the *ISACA Journal*. Forms are also available at www.isaca.org/participate. The deadline for participation is February 25, 2010.

For Your Information...

Cloud computing is the latest technological advance with companies clamoring for such services. But does this new technological advance live up to the hype and what about the security risks involved? Bill Brenner, Senior Editor for CSO Magazine explores the cloud computing world and the potential risks involved in a 4 part series.

READ THE FULL SERIES:

[Part 1: Companies Seek Social Networking's promise, Find Peril Instead](#)

[Part 2: The Curse of Cloud Security](#)

[Part 3: IT Security Outsourcing in Decline; Companies Do More In-house](#)

[Part 4: Survey Says More Companies Hiring CSOs, Holding Steady on Spending](#)

December 2009 CISA, CISM and CGEIT Exams

Exam date - **December 12, 2009**

Exam preparation and online review courses directly from ISACA:
www.isaca.org/bookstore -- find out more about ISACA's exam preparation materials.
www.isaca.org/elearning -- learn more about online exam review courses.

Open Position at Beckman Coulter...



SENIOR IT AUDITOR

Beckman Coulter develops, manufactures and markets products that simplify, automate and innovate complex biomedical testing. Our diagnostic systems are found in hospitals and other critical care settings around the world and produce information used by physicians to diagnose disease, make treatment decisions and monitor patients. Instruments for life science research are used by scientists as they study complex biological problems including the causes of disease, identify new therapies, and test new drugs. Our customers include hospitals, physicians' offices, diagnostic reference laboratories, pharmaceutical and biotechnology companies, universities, medical schools and research institutions. In fact, Beckman Coulter has more than 200,000 clinical and research instrument systems operating in laboratories around the world.

From complex DNA sequencing to simple diagnostic screening kits, Beckman Coulter is one of the world's largest companies devoted solely to biomedical testing. The company, based in Fullerton, California has operations in more than 130 countries, we employ over 11,000 employees including research scientists, engineers, manufacturing associates and other professional and technical staffs. We offer opportunities for every employee to make an impact at Beckman Coulter-and on the health of people worldwide through our products which support advances in patient care.

Job Description: We have a Senior IT Auditor opportunity available within the IT organization at our headquarters located in Brea, CA. The SOx IT Auditor will conduct reviews of Information Technology functions worldwide to address IT practices and internal controls focusing on Sarbanes Oxley requirements. Perform audits/reviews to assess the effectiveness of IT controls and compliance with Company policies/procedures and applicable regulatory requirements. Provide relevant recommendations to strengthen and enhance IT risk management practices and the controls environment using effective communication channels (verbal and written). Participate in special management projects. Travel approximately 40%, including opportunities for international travel. Qualifications: Bachelor's degree in Business Administration with a major in IT. 3+ years of IT audit experience. MBA, professional certification (CISA/CISM/CISSP) and a second language are highly desirable. Position is not eligible for relocation.

Excellent benefits: in addition to a very competitive salary and bonus opportunity, we offer a robust benefits package that includes low cost health insurance coverage for you and your family; matching 401(k) as well as a retirement account plan; stock purchase options; paid time off (PTO) and holidays.

If you believe your education and experience are in line with the position description and qualifications referred to above, and are motivated, energetic, and looking for a new and exciting opportunity, please submit your resume online at the URL below or at www.beckmancoulter.com. (Job Requisition Number: 66974)

Contact: Carolyn Rayburn 610-971-6434 or Carolyn.rayburn@kenexa.com

Open Position at eBay/ PayPal...

Position Title: *Senior IT Auditor*

Compensation: Competitive Salary plus Bonus Potential

IT Audit Experience: 5y+

Required Certifications: CISA required, CISSP a plus

Location: *San Jose, CA*

Travel: 15%

Education: Degree Required

Key Job Responsibilities:

Conduct all phases of technology audits including planning, fieldwork, reporting results to management and performing follow-up. Coordinate effectively with technology teams, outside consultants and peers to perform audits over a wide range of information system functions.

Evaluate complex business and technology risks, make recommendations to address control weaknesses and consult with Technology management in addressing control risks.

Partner with the financial internal audit team to provide insight and technology controls consulting on an as needed basis.

Key Skills Candidates must possess:

- Strong customer focus and good listening, negotiation and problem-solving skills. Confidence and poise in working with line, senior and executive management. Ability to establish and foster key internal and external relationships.
- Excellent written and verbal communication skills and proven ability to work effectively with technical engineers.
- Demonstrated ability to write reports and communicate audit findings to management.
- Superior analytical skills. Ability to decipher technical documentation, assess potential risks in a dynamic and fast-paced environment, and communicate relevant information to management.
- Capable of working independently with minimal supervision. Able to plan own assignments, identify appropriate scope and execute according to plan.
- Demonstrates effective project management skills, meets deadlines, prioritizes multiple tasks, manages priorities, and prepares timely updates of project status.
- Ability to work in a very dynamic environment. Skilled at frequently balancing multiple tasks and remaining clearly focused on team and individual objectives

This position is for an individual contributor to add value to the Internal Audit department in a growing and rapidly evolving technology company. There is extensive collaboration required with peers in Internal Audit, Technology, Finance, Senior Management, external auditors and contractors

Contact Information: Jenifer Lewald, eBay HR, jlewald@ebay.com

2009 – 2010 ISACA Orange County Board

Chapter Officers

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Auditor

Open



Member Benefit of the Month: **Academic Research Area**

ISACA dedicates an area of its web site to providing ISACA and its members an opportunity to support potentially groundbreaking research. Each research project will also result in the addition of professional content to the site, such as resulting white papers and articles. ISACA encourages its members and chapters to participate in those projects they find of special interest or pertinence.

A survey from the University of Houston on "Determinants of Information Systems Development Project Escalation" is posted for feedback through January. Please visit www.isaca.org/academicresearch to learn more. ■

An excerpt from the...

ExpressLine

A Monthly Newsletter for the Leadership of ISACA[®]



Research Update

THE RISK IT FRAMEWORK AND THE RISK IT PRACTITIONER GUIDE

The first two deliverables of the Risk IT: Based on COBIT[®] initiative will be available in November at www.isaca.org/bookstore and www.isaca.org/deliverables. Risk IT enables enterprises to understand and manage all significant IT risk types, building upon the existing risk-related components within the current ISACA frameworks of COBIT[®] and Val IT[™].

NEW AND UPCOMING ISACA RELEASES

- COBIT[®] Mapping: Overview of International IT Guidance, 3rd Edition
- COBIT[®] Mapping: Mapping ISO 20000 With COBIT[®] 4.1
- Implementing and Continually Improving IT Governance
- ISACA[®] Model Curriculum for IS Audit and Control, 2nd Edition (now available)
- Security, Audit and Control Features Oracle[®] Database, 3rd Edition
- SharePoint[®] Deployment and Governance Using COBIT[®] 4.1 ■

Certification Update

SEPTEMBER CERTIFICATIONS

In September 2009, 739 CISA, 270 CISM, and 81 CGEIT candidates were awarded certification.

DECEMBER 2009 EXAM ADMISSION TICKETS

Approximately 4 to 6 weeks prior to the exam, ISACA International Headquarters will send candidates whose exam is fully paid a physical admission ticket and electronic e-ticket form. The ticket will be sent to candidates' preferred contact information listed in their profiles. To ensure the timely receipt of admission tickets and exam results, candidates should keep the contact information in their profiles current.

If candidates do not receive admission tickets by December 1, 2009, contact the certification department immediately at exam@isaca.org, and include "admission ticket" in the subject header for more immediate attention.

To ensure exam candidates arrive in plenty of time for the exam, ISACA recommends candidates become familiar with the exact locations and the best travel routes to their exam site prior to the date of the exam. Candidates who arrive after the oral instructions have begun will not be allowed to sit for the exam and will forfeit their registration fee.

EXAM IDENTIFICATION POLICY

At the time of entry, each candidate must have a valid admission ticket and an acceptable form of identification (ID). An acceptable form of ID must be a current and original government-issued ID containing the candidate's name as it appears on the admission ticket and the candidate's photograph. This single piece of ID must demonstrate all of these characteristics. Examples include, but are not limited to, a passport, driver's license, military ID, state ID, green card or national ID. The ID must not contain handwritten information. We will not allow any candidate who does not provide an acceptable form of identification to sit for the exam and he/ she will forfeit their registration fee. Any questions regarding exam identification should be directed to the certification department.

APPLICATIONS FOR CERTIFICATION

Exam passers, you become certified after you submit an application and receive approval from the CISA[®], CISM[®] or CGEIT[®] Certification Committee. All candidates have 5 years from the pass date to apply for certification. Further application details are available at www.isaca.org/cisaapp, www.isaca.org/cismapp or www.isaca.org/cgeitapp.

Calendar of Events

Dates of conferences/events are indicated in RED; other dates and deadlines are indicated in BLACK.

November

- 2 November ISACA 2010 renewal notices mailed
- 2-6 November **ISACA Training Week**, San Francisco, California, USA
- 3 November **ISACA Virtual Conference**
- 9-11 November **Information Security and Risk Management Conference**, Amsterdam, The Netherlands
- 17 November **ISACA e-Symposium**
- 20 November Deadline for contributions to volume 2, 2010, of *ISACA Journal*

December

- 4 December Deadline for contributions to volume 1, 2010, of *COBIT Focus*
- 23 December Early-bird deadline for Information Security and Risk Management Conference, Bogota, Colombia

January

- 13 January Early-bird deadline for EuroCACS, Budapest, Hungary
- 15 January Deadline for 2010 membership and certification renewals
- 20 January Deadline for contributions to volume 3, 2010, of *ISACA Journal*

THE ORANGE COUNTY CHAPTER OF ISACA

TUESDAY DECEMBER 8, 2009

4:00 – 6:00 PM (CHECK-IN AT 3:30PM)

Dinner – 4:00PM

Presentation Topic: IT Governance from a CIO's Perspective

Speakers: Mario Leone, Executive Vice President and CIO, Ingram Micro, Inc.

**Payments made at the door:
Members/Affiliate Organizations - \$30
Non-Members - \$35**

**Students and Professors - \$10 (First time FREE but reservations are still required)
Make checks payable to Orange County Chapter ISACA**

To register for this meeting, please visit us at www.isacaoc.org to register online. The registration tab is on the left. You will receive an e-mail confirmation.

Registration Deadline is December 4, 2009

Payments can be made on the day of the meeting. We regret we cannot accept credit card or late payments.



**Orange County Conference Center
300 South Flower Street
City of Orange, CA 92868
(714) 978-7021
4:00pm Presentation
6:00 Dinner and Chapter Meeting**

Directions to The Turnip Rose/ Orange County Chapter Meeting:

From the South OC. Take I-5 N towards Los Angeles. Take the CHAPMAN AVE exit. (The CHAPMAN near Anaheim Stadium, not the one in Fullerton!!) Turn right on CHAPMAN AVE. Turn RIGHT onto S FLOWER ST.

From Los Angeles. Take I-5 S towards Santa Ana. Take the THE CITY DR (Exit 107C) exit towards STATE COLLEGE BLVD. Turn RIGHT onto the City Drive South. Turn LEFT onto W CHAPMAN AVE. Turn RIGHT onto S FLOWER ST.

Go down a few blocks to the Orange County Conference Center on the right. The Turnip Rose is the name of the meeting room facility (the food is great!) There is plenty of parking at the rear of the complex.

